



# Resolutions of Stanley-Reisner rings and Alexander duality

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## Abstract

Associated to any simplicial complex  $\Delta$  on  $n$  vertices is a square-free monomial ideal  $I_\Delta$  in the polynomial ring  $A = k[x_1, \dots, x_n]$ , and its quotient  $k[\Delta] = A/I_\Delta$  known as the Stanley–Reisner ring. This note considers a simplicial complex  $\Delta^*$  which is in a sense a canonical Alexander dual to  $\Delta$ , previously considered in [1, 5]. Using Alexander duality and a result of Hochster computing the Betti numbers  $\dim_k \text{Tor}_i^A(k[\Delta], k)$ , it is shown (Proposition 1) that these Betti numbers are computable from the homology of links of faces in  $\Delta^*$ . As corollaries, we prove that  $I_\Delta$  has a linear resolution as  $A$ -module if and only if  $\Delta^*$  is Cohen–Macaulay over  $k$ , and show how to compute the Betti numbers  $\dim_k \text{Tor}_i^A(k[\Delta], k)$  in some cases where  $\Delta^*$  is well-behaved (shellable, Cohen–Macaulay, or Buchsbaum). Some other applications of the notion of shellability are also discussed. © 1998 Elsevier Science B.V. All rights reserved.

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## 1. Introduction

Let  $\Delta$  be an abstract simplicial complex on vertex set  $[n] := \{1, 2, 3, \dots, n\}$ , i.e.  $\Delta$  is a collection of subsets  $F \subseteq [n]$  called *faces* which is closed under inclusion. The *dimension*  $\dim(F)$  of the face  $F$  is  $|F| - 1$ , and  $\dim(\Delta)$  is the maximum dimension of its faces. We say that  $\Delta$  is *pure* if all maximal faces of  $\Delta$  have the same dimension, equal to  $\dim(\Delta)$ .

There is a well-known construction (see [14, Ch. 2]) of the *Stanley–Reisner ring*  $k[\Delta]$  associated to  $\Delta$ : one forms a certain square-free monomial ideal  $I_\Delta$  in the polynomial ring  $A := k[x_1, \dots, x_n]$ , and then  $k[\Delta]$  is the quotient ring  $A/I_\Delta$ . The ideal  $I_\Delta$  is generated by the monomials  $x^G$  as  $G$  runs over the inclusion-minimal subsets of  $[n]$  which are *not* faces in  $\Delta$ , where  $x^G := \prod_{i \in G} x_i$ .

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Every square-free monomial ideal  $I$  in  $A$  is of the form  $I_\Delta$  for some simplicial complex  $\Delta$ , and  $\Delta$  plays a role in understanding the homological properties of  $I$ . Conversely, the rings  $k[\Delta]$  have played a role in understanding combinatorial properties of simplicial complexes, and in particular the enumeration of their faces of various dimensions (see [14]).

One homological property of interest for  $k[\Delta]$  are the *Betti numbers*

$$\beta_i(k[\Delta]) := \dim_k \text{Tor}_i^A(k[\Delta], k),$$

where  $k$  is given the trivial  $A$ -module structure as the quotient  $A/A_+$  by the irrelevant ideal  $A_+ = (x_1, x_2, \dots, x_n)$ . The Betti numbers  $\beta_i := \beta_i(k[\Delta])$  are of particular interest because they give the ranks of the  $i$ th resolvent in a minimal free resolution of  $k[\Delta]$  as an  $A$ -module:

$$0 \rightarrow A^{\beta_h} \rightarrow \dots \rightarrow A^{\beta_1} \rightarrow A \rightarrow k[\Delta] \rightarrow 0.$$

Since the monomial ideal  $I_\Delta$  is homogeneous with respect to the  $\mathbb{N}^n$ -grading on  $A$  defined by letting the variable  $x_i$  have grade equal to the  $i$ th standard basis vector  $e_i$ , the Stanley–Reisner ring  $k[\Delta]$  inherits this grading. The resolvents  $A^{\beta_i}$  may also be given this  $\mathbb{N}^n$ -grading so as to make the maps in the resolution homogeneous, and hence  $\text{Tor}_i^A(k[\Delta], k)$  inherits this grading. For a given grade  $\alpha \in \mathbb{N}^n$ , let  $\text{Tor}_i^A(k[\Delta], k)_\alpha$  denote the  $\alpha$ -graded component of  $\text{Tor}_i^A(k[\Delta], k)$ . One can then collate this finer information about the dimensions of these graded pieces into the *Betti polynomial*

$$T_i(k[\Delta], t) := \sum_\alpha \dim_k \text{Tor}_i^A(k[\Delta], k)_\alpha t^\alpha,$$

where  $t^\alpha = \prod_i t_i^{\alpha_i}$ . Hochster gave the following formula for these Betti polynomials.

**Theorem** (Hochster [12]).

$$T_i(k[\Delta], t) = \sum_{V \subseteq [n]} \dim_k \tilde{H}_{|V|-i-1}(\Delta_V; k) t^V,$$

where  $\Delta_V$  denotes the simplicial complex on vertex set  $V$  defined by

$$\Delta_V := \{V' \subseteq V : V' \in \Delta\}.$$

Here  $\tilde{H}(\cdot; k)$  denotes reduced homology with coefficients in the field  $k$ , and  $t^V := \prod_{i \in V} t_i$ .

See [6, 7, 15–19] for some applications of Hochster’s formula.

Our observation is that one may reinterpret the reduced homologies in Hochster’s formula as the reduced (co-)homologies of links of faces in a certain simplicial complex  $\Delta^*$  dual to  $\Delta$ , defined by

$$\Delta^* := \{F \subseteq [n] : [n] - F \notin \Delta\}.$$

In other words, if one thinks of  $\Delta$  as an *order ideal* in the Boolean algebra  $2^{[n]}$ , then  $\Delta^*$  is obtained by taking the *order filter*  $2^{[n]} - \Delta$ , and applying the order-reversing

map  $F \mapsto [n] - F$  to each of these sets yielding another order ideal  $\Delta^*$ . This same construction plays an important role in [5, Section 1].

Recall that the *link* of a face  $F$  in a simplicial complex  $\Delta$  on vertex set  $[n]$  is the simplicial complex on vertex set  $[n] - F$  defined by

$$\text{link}_{\Delta} F := \{G \in \Delta : G \cup F \in \Delta, G \cap F = \emptyset\}.$$

We shall also need later the *deletion* of vertex  $v$  in a simplicial complex, defined by

$$\text{del}_{\Delta} v := \{G \in \Delta : v \notin G\}.$$

**Proposition 1.** *For  $i \geq 1$  we have*

$$T_i(k[\Delta], t) = \sum_{F \in \Delta^*} \dim_k \tilde{H}_{i-2}(\text{link}_{\Delta^*} F; k) t^{[n]-F}$$

**Proof.** Given  $V \subseteq [n]$  appearing as a term in Hochster’s sum, let  $F = [n] - V$ . Note that if  $V$  is a face of  $\Delta$  then  $\Delta_V$  will be a simplex and hence have no reduced homology, therefore we may assume  $V$  is not a face of  $\Delta$ . By definition of  $\Delta^*$  then  $F$  is a face of  $\Delta^*$ , so  $F$  appears in the sum on the right-hand side in the Proposition 1. Therefore it suffices to show

$$\dim_k \tilde{H}_{i-2}(\text{link}_{\Delta^*} F; k) = \dim_k \tilde{H}_{|V|-i-1}(\Delta_V; k).$$

To see this, note that the complementation map

$$\{V' \subseteq [n] : V' \subseteq V\} \rightarrow \{F' \subseteq [n] : F \subseteq F'\}$$

given by  $V' \mapsto [n] - F'$  identifies the Boolean algebra  $2^V$  with the interval  $[F, [n]]$  in the Boolean algebra  $2^{[n]}$ , and has the property that  $V'$  is a face of  $\Delta$  if and only if  $F' = [n] - V'$  is *not* a face of  $\Delta^*$ . Thus this map gives an isomorphism between the complexes  $\text{link}_{\Delta^*} F$  and  $(\Delta_V)^*$  if we think of both as having vertex set  $V$ . It only remains to apply the following lemma, and use the duality between reduced homology and cohomology over a field  $k$  [13, Theorem 53.5]:

**Lemma 2** (see [5, Lemma 1.2; 9, Lemma 4; 1, Theorem 6.4.1]). *For any simplicial complex  $\Delta$  on vertex set  $[n]$ , we have*

$$\tilde{H}_{i-2}(\Delta^*; k) \cong \tilde{H}^{n-i-1}(\Delta; k).$$

This concludes the proof of the proposition.  $\square$

We conclude this section with various remarks on Proposition 1.

**Remark.** The use of Alexander duality in connection with Hochster’s formula is not new, although previously it has been most often used to relate  $\tilde{H}_*(\Delta_V; k)$  and  $\tilde{H}_*(\Delta_{[n]-V}; k)$  in the case where  $\Delta$  is a  $k$ -homology sphere (e.g. [14, p. 76; 16–19]).

However, we found out that recently many others [1, 5, 15], have independently used this same Alexander duality to show, among other things, that the second Betti number  $\beta_2(k[\Delta])$  depends only on  $\Delta$  and not on the field  $k$  (as is clear from Proposition 1). In fact, the discussion in [5, p. 4 paragraph preceding Corollary 1.5] is almost the same as the assertion of Proposition 1, although the subcomplexes  $\text{link}_\Delta F$  which appear there implicitly are never identified as links.

**Remark.** We were led to this reformulation of Hochster's result by the results of [8], which give a procedure to construct the maps in a minimal free resolution of  $k[\Delta]$ , in the case where  $\Delta^*$  is pure. In that paper, there is given more generally a procedure to construct maps in a minimal free resolution for all quotients of a polynomial ring by an ideal generated by monomials which all have the same degree.

## 2. Applications

Hochster's formula is clearly most useful when the homology of  $\Delta$  and all of its subcomplexes  $\Delta_V$  are comprehensible, a situation which is rare unless  $\Delta$  is low-dimensional (although see [10, 17, 19] for some notable exceptions). On the other hand, the usefulness of Proposition 1 lies in situations where one has information about the links of faces in  $\Delta^*$ , and there are several well-known hypotheses on a simplicial complex which state such information. We recall here the definitions for a simplicial complex to be Cohen–Macaulay, Buchsbaum, Gorenstein\*, or a homology manifold over  $k$ , and refer the reader to [14] for equivalent definitions in terms of properties of the Stanley–Reisner ring  $k[\Delta]$ .

The simplicial complex  $\Delta$  is said to be *Buchsbaum over the field  $k$*  if it is pure, and for every non-empty face  $F$  of  $\Delta$ , we have  $\tilde{H}_i(\text{link}_\Delta F; k) = 0$  for  $i < \dim(\text{link}_\Delta F)$ .

If in addition to  $\Delta$  being Buchsbaum over  $k$  one has that  $\tilde{H}_i(\Delta; k) = 0$  for  $i < \dim(\Delta)$  then  $\Delta$  is said to be *Cohen–Macaulay over  $k$* .

If in addition to  $\Delta$  being Cohen–Macaulay over  $k$  one has that

$$\tilde{H}_{\dim(\text{link}_\Delta F)}(\text{link}_\Delta F; k) = k$$

for every face  $F$ , then  $\Delta$  is said to be a *homology sphere over  $k$*  or *Gorenstein\* over  $k$* .

If in addition to  $\Delta$  being Buchsbaum over  $k$  one has that

$$\tilde{H}_{\dim(\text{link}_\Delta F)}(\text{link}_\Delta F; k) = k$$

for every non-empty face  $F$ , then  $\Delta$  is said to be a *homology manifold over  $k$* .

**Examples.** It is known [13, Section 63] that simplicial complexes  $\Delta$  which triangulate a manifold without boundary are homology manifolds over any field  $k$ , and if  $\Delta$  triangulates a sphere then it is a homology sphere over any field  $k$ .

All graphs (i.e. 1-dimensional simplicial complexes) are Buchsbaum over arbitrary fields  $k$ , and are furthermore Cohen–Macaulay when connected.

We say that an ideal  $I$  in  $A$  has *linear resolution* if there is a minimal free resolution for  $A/I$  in which all the non-zero entries in the matrices  $\partial_i: A^{\beta_i} \rightarrow A^{\beta_{i-1}}$  for  $i \geq 2$  are of degree 1 in the standard grading on  $A$  where  $\deg(x_i) = 1$ . Fröberg [9] gave a characterization of the ideals  $I$  generated by monomials which have linear resolution, by first reducing to the case of square-free monomial ideals  $I_\Delta$ , and then using Hochster’s formula. Using Proposition 1 we obtain an elegant dual formulation of this result.

**Theorem 3.**  $I_\Delta$  has linear resolution if and only if  $\Delta^*$  is Cohen–Macaulay over  $k$ .

**Proof.** It is easy to see that  $I_\Delta$  has linear resolution if and only if

- its minimal generators all have the same degree  $t$ , and
- for each  $i$  we have that  $\text{Tor}_i^A(k[\Delta], k)$  is homogeneous of degree  $t+i$  in the standard grading

(in fact, this is the definition of having *t-linear resolution* used in [9]). The first of these conditions is equivalent to  $\Delta^*$  being pure. Using Proposition 1, the second condition is equivalent to  $\text{link}_{\Delta^*} F$  having no homology over  $k$  except in its top dimension for all faces  $F$  of  $\Delta^*$ . Thus these two conditions are exactly equivalent to  $\Delta^*$  being Cohen–Macaulay over  $k$ .  $\square$

**Remark.** Theorem 3 explains some of the “bad” behavior of resolutions of  $k[\Delta]$  with respect to the topology of  $\Delta$ , as discussed in [9]. In [9, Remark 9] it is noted that having linear resolution is not a topological invariant of  $\Delta$ . However, it is a topological invariant of  $\Delta^*$ . Also, [9, Example 3] points out that when  $\Delta$  is the well-known 6-point triangulation of  $\mathbb{R}\mathbb{P}^2$ , the resolution is linear when  $k$  has characteristic 0 but not when it has characteristic 2. This is because in this case  $\Delta^*$  is isomorphic to  $\Delta$ , and hence triangulates  $\mathbb{R}\mathbb{P}^2$  which is Cohen–Macaulay over  $k$  exactly when  $k$  has characteristic not equal to 2.

In the case where  $\Delta^*$  is at least Buchsbaum, Proposition 1 gives an easy computation of the Betti numbers  $\beta(k[\Delta])$ , in terms of the number of faces of various dimensions and (topological) Betti numbers of  $\Delta^*$ . Recall (see [14, Appendix 2]), the definition of the *f-vector* of a  $(d-1)$ -dimensional simplicial complex

$$f(\Delta) := (f_{-1}, f_0, f_1, \dots, f_{d-1})$$

where  $f_i$  is the number of  $i$ -dimensional faces of  $\Delta$ . Also recall that the same information may be encoded in the *h-vector* defined by

$$h(\Delta) := (h_0, h_1, \dots, h_d), \quad \sum_{i=0}^d f_{i-1}(t-1)^{d-i} = \sum_{i=0}^d h_i t^{d-i}. \tag{1}$$

Also define the (reduced) *Poincaré polynomial*  $\text{Poin}(\Delta, t)$  by

$$\text{Poin}(\Delta, t) = \sum_{i \geq -1} \dim_k \tilde{H}_i(\Delta; k) t^i$$

and the (reduced) *Euler characteristic*  $\tilde{\chi}(\Delta) = \text{Poin}(\Delta, -1)$ .

**Theorem 4.** Let  $\Delta$  be a simplicial complex, and  $\Delta^*$  its Alexander dual as defined earlier, with  $\dim(\Delta^*) = d - 1$ .

- If  $\Delta^*$  is Buchsbaum, then

$$\sum_{i \geq 1} \beta_i(k[\Delta]) t^{i-1} = t \operatorname{Poin}(\Delta^*, t) + (-t)^d \tilde{\chi}(\Delta^*) + \sum_{i=0}^d h_i(\Delta^*) (t+1)^i. \tag{2}$$

- If  $\Delta^*$  is Cohen–Macaulay, then Eq. (2) collapses to

$$\sum_{i \geq 1} \beta_i(k[\Delta]) t^{i-1} = \sum_{i=0}^d h_i(\Delta^*) (t+1)^i.$$

- If  $\Delta^*$  is a homology manifold over  $k$ , then

$$\sum_{i \geq 1} \beta_i(k[\Delta]) t^{i-1} = t \operatorname{Poin}(\Delta^*, t) + \sum_{i=0}^{d-1} f_{d-i-1}(\Delta^*) t^i.$$

- If  $\Delta^*$  is a homology sphere (Gorenstein<sup>\*</sup>) over  $k$ , then

$$\sum_{i \geq 1} \beta_i(k[\Delta]) t^{i-1} = \sum_{i=0}^d f_{d-i-1}(\Delta^*) t^i.$$

**Proof.** To prove Eq. (2), assume  $\Delta^*$  is Buchsbaum, and use Proposition 1 to conclude that

$$\begin{aligned} \sum_{i \geq 1} \beta_i(k[\Delta]) t^{i-1} &= \sum_{F \in \Delta^*} \sum_j \dim_k \tilde{H}_j(\operatorname{link}_{\Delta^*} F) t^{j+1} \\ &= \sum_j \dim_k \tilde{H}_j(\Delta^*) t^{j+1} + \sum_{\emptyset \neq F \in \Delta^*} \sum_j \dim_k \tilde{H}_j(\operatorname{link}_{\Delta^*} F) t^{j+1} \\ &= t \operatorname{Poin}(\Delta^*, t) + \sum_{\emptyset \neq F \in \Delta^*} (-1)^{d-\dim(F)} \tilde{\chi}(\operatorname{link}_{\Delta^*} F) t^{d-|F|}. \end{aligned} \tag{3}$$

Combining equations from [14, Sections II.7 and II.2] gives the equation

$$\sum_{F \in \Delta^*} (-1)^{d-\dim(F)} \tilde{\chi}(\operatorname{link}_{\Delta^*} F) \left( \frac{t}{1-t} \right)^{|F|} = \frac{\sum_{i=0}^d h_i(\Delta^*) t^{d-i}}{(1-t)^d}.$$

If we replace  $t$  by  $1/(1+t)$  and then multiply by  $t^d$ , we obtain

$$\sum_{F \in \Delta^*} (-1)^{d-\dim(F)} \tilde{\chi}(\operatorname{link}_{\Delta^*} F) t^{d-|F|} = \sum_{i=0}^d h_i(\Delta^*) (1+t)^i$$

which combined with the last equation in (3) yields Eq. (2).

The formula in the case  $\Delta^*$  is Cohen–Macaulay follows from Eq. (2) upon observing that

$$(-t)^{d-1} \tilde{\chi}(\Delta^*) = t \operatorname{Poin}(\Delta^*, t)$$

since  $\Delta^*$  has only top dimensional reduced homology.

The formula in the case of  $\Delta^*$  is a homology manifold over  $k$ , follows directly from Eq. (3) and the definition of the  $f$ -vector, using the fact that

$$(-1)^{d-\dim(F)} \tilde{\chi}(\operatorname{link}_{\Delta} F) = 1$$

for all non-empty faces  $F$ . The case where  $\Delta^*$  is a homology sphere over  $k$  is then a trivial specialization of this.  $\square$

**Remark.** Note that the definition of  $\Delta^*$  gives an obvious relation between the  $f$ -vectors of  $\Delta$  and  $\Delta^*$ , namely

$$f_i(\Delta^*) = \binom{n}{i+1} - f_{n-i-2}(\Delta).$$

Similarly, Lemma 2 gives a simple relation between the topological Poincaré polynomials of  $\Delta$  and  $\Delta^*$ . Therefore one has a choice in the previous theorem to express the formulas in terms of the  $f$ -vector and Poincaré polynomial of  $\Delta^*$ , or in terms of  $\Delta$  itself.

The next result provides a large class of examples where the Betti numbers of  $k[\Delta]$  do not depend upon the field (see [15–19] other such results). It is pointed out in [16, Section 3] that this is equivalent to the existence of a minimal free resolution of  $\mathbb{Z}[\Delta]$  over  $\mathbb{Z}[x_1, \dots, x_n]$ .

The field independence comes from the condition of *shellability* [3, 4]. Say that a simplicial complex  $\Delta$  is *shellable* if one can order its maximal faces  $F_1, F_2, \dots, F_m$  in such a way that for each  $i \geq 2$  the intersection

$$F_i \cap \left( \bigcup_{j < i} \overline{F_j} \right) \tag{4}$$

between  $F_i$  and the subcomplex generated by the previous maximal faces is a subcomplex of codimension 1 inside  $F_i$ . When  $\Delta$  is shellable and pure of dimension  $d - 1$ , the  $h$ -vector has the following interpretation:  $h_r$  is the number of maximal faces  $F_i$  for which the intersection in (4) consists of exactly  $d - r$  of the  $(d - 2)$ -faces of  $F_i$ .

**Corollary 5.** *If  $\Delta^*$  is shellable then the Betti numbers  $\beta_i$  of  $k[\Delta]$  are independent of the field  $k$ . If furthermore  $\Delta^*$  is pure and shellable, then regardless of the field  $k$  we have that the resolution of  $I_{\Delta}$  is linear and*

$$\sum_{i \geq 1} \beta_i(k[\Delta]) t^{i-1} = \sum_{i \geq 0} h_i(\Delta^*) (t + 1)^i.$$

**Proof.** When  $\Delta^*$  is shellable, its homology is independent of the field [3, Corollary 4.2], and all of its links  $\text{link}_{\Delta^*} F$  inherit the property of shellability [4, Proposition 10.14] so their homology is also independent of the field. The first assertion of the theorem then follows from Proposition 1.

Since  $\Delta^*$  being pure and shellable implies it is Cohen–Macaulay over any field (see e.g. [3, 4, Corollary 4.1, Proposition 10.14]), the rest of the assertions follow from Theorems 3 and 4.  $\square$

Directly translating the definition of pure shellability of  $\Delta^*$  produces the following condition on the generators of the monomial ideal  $I = I_\Delta$ : one can linearly order the monomial generators  $m_1, m_2, \dots, m_r$  of  $I$  in such a way that for each  $i < k$  there exists a  $j < k$  satisfying

- $m_j$  divides the least common multiple  $\text{lcm}(m_i, m_k)$ ,
- $m_j, m_k$  differ in at exactly 2 variables, i.e.  $m_j = (x_p/x_q)m_k$  for some  $p, q$ .

It follows immediately from the preceding corollary that any square-free monomial ideal satisfying this condition will have a linear resolution regardless of the field  $k$ . On the other hand, this same definition also makes sense for monomial ideals  $I$  which are not necessarily square-free. Say that such a monomial ideal (not necessarily square-free) is *dually shellable*.

**Theorem 6.** *Let  $I$  be a dually shellable monomial ideal in  $k[x_1, \dots, x_n]$ . Then  $I$  has linear resolution regardless of the field  $k$ .*

**Proof.** Assume  $I$  is dually shellable, with linear order  $m_1, m_2, \dots, m_r$  on its monomial generators as in the definition. If all the monomials  $m_i$  are square-free, then we are done by the previous corollary. Otherwise there is some variable, say  $x$ , for which the maximum  $x$ -degree appearing among all the  $m_i$ 's is  $d > 1$ . In this case we introduce a new ideal  $I'$  which is “closer” to being square-free, by defining  $m'_i$  to be

$$m'_i = \begin{cases} \frac{x_0}{x} m_i & \text{if } x^d \text{ divides } m_i, \\ m_i & \text{otherwise,} \end{cases}$$

and letting  $I'$  be the ideal generated by  $m'_1, m'_2, \dots, m'_r$ . Since  $A/I$  is the quotient of  $A[x_0]/I'$  by the linear non-zero divisor  $x_0 - x$ , it follows from [9, Lemma 1] that  $I$  will have linear resolution if and only if  $I'$  does.

Therefore it suffices (by induction on  $d$ ) to show that  $I'$  inherits dual shellability from  $I$ , with respect to the ordering  $m'_1, m'_2, \dots, m'_r$  of its generators. So let  $i < k$ , and let  $j < k$  be the index satisfying  $m_j$  divides  $\text{lcm}(m_i, m_k)$  with  $m_j, m_k$  differing in exactly 2 variables. We claim both that  $m'_j$  will divide  $\text{lcm}(m'_i, m'_k)$  and that  $m'_j, m'_k$  differ in exactly 2 variables. To see the first claim, note that the power of any variable  $x_t$  other than  $x$  or  $x_0$  is the same in  $m'_i, m'_j, m'_k$  as it was in  $m_i, m_j, m_k$ , so we only need to check that the  $x$ -degree and  $x_0$ -degree of  $m'_j$  are no bigger than their minimum values for  $m'_i, m'_k$ . This is true for the  $x_0$ -degrees because  $m'_j$  has a factor of  $x_0$  exactly when  $x^d$  divides  $m_j$ , which implies that  $x^d$  divides at least one of the two monomials  $m_i, m_j$ ,



and so one of  $m'_i, m'_k$  will be divisible by  $x_0$ . Similar reasoning shows that it is true for the  $x$ -degrees. To show the second claim about  $m'_j, m'_k$  differing in exactly 2 variables, consider the four cases

- $m'_j = m_j, m'_k = m_k$ . Trivial.
- $m'_j \neq m_j, m'_k \neq m_k$ . Here it must be that  $m_j, m_k$  were both divisible by  $x^d$ , so we must have  $m_j = (x_p/x_q)m_k$  for two variables  $x_p, x_q \neq x$ . But then  $m'_j = (x_p/x_q)m'_k$ .
- $m'_j = m_j, m'_k \neq m_k$ . In this case it must be that  $m_k$  is divisible by  $x^d$  while  $m_j$  is not, so  $m_j = (x_p/x)m_k$  for some variable  $x_p$ . But then

$$m'_j = m_j = \frac{x_p}{x} m_k = \frac{x_p}{x_0} \frac{x_0}{x} m_k = \frac{x_p}{x_0} m'_k$$

as desired.

- $m'_j \neq m_j, m'_k = m_k$ . Symmetric to the previous case.

This completes the proof of the claim, and hence the theorem follows.  $\square$

The remainder of this section discusses two situations where the conclusion of Corollary 5 applies because the dual complex  $\Delta^*$  is not only shellable, but satisfies the stronger condition of vertex-decomposability. A simplicial complex  $\Delta$  is said to be *vertex-decomposable* if it satisfies the following recursive definition: either  $\Delta = \{\emptyset\}$  or there exists some vertex  $v$  of  $\Delta$  for which both subcomplexes  $\text{del}_\Delta v$  and  $\text{link}_\Delta v$  are vertex-decomposable. This concept was introduced by Provan and Billera, who showed that vertex-decomposable complexes are shellable (see [2, Lemma 4.14]).

Say that  $I_\Delta$  is *matroidal* if its set of minimal generators  $\{x^{G_\alpha}\}$  satisfy the *MacLane–Steinitz exchange axiom*: For any  $\alpha, \beta, i$ , if  $x_i$  divides  $x^{G_\alpha}$  then there exists a  $j$  such that  $x_j$  divides  $x^{G_\beta}$  and  $(x_j/x_i)G_\alpha$  is also a minimal generator. Equivalently,  $I_\Delta$  is matroidal if the set of exponents  $\mathcal{B} := \{G_\alpha\}$  of its minimal generators form the set of bases for a *matroid*  $\mathcal{M}$  on the ground set  $[n]$  (see [2]).

**Proposition 7.** *If  $I_\Delta$  is matroidal, then  $\Delta^*$  is vertex-decomposable, and hence shellable. Therefore  $I_\Delta$  has linear resolution over any field  $k$ .*

**Proof.** In this situation,  $\Delta^*$  will be the *dual complex* for the matroid  $\mathcal{M}$ , i.e. the complex of *independent sets* in the *dual matroid*  $\mathcal{M}^*$ . As a consequence it is vertex-decomposable (see [2, Section 5]).  $\square$

Note that [9, Example 4] is an instance of a matroidal ideal  $I_\Delta$ , in which  $\mathcal{M}$  is the *uniform matroid* of rank  $k + 1$  on ground set  $[n]$ . We also remark that the hypotheses in the previous proposition may be weakened somewhat to assume only that the generators of  $I_\Delta$  correspond to the set of *bases* in a *greedoid*  $\mathcal{G}$  on the ground set  $[n]$  (see [2] for definitions). In this situation  $\Delta^*$  again forms the *dual complex* of  $\mathcal{G}$ , which is known to be vertex-decomposable [2, Theorem 5.1]. Unfortunately we are not aware of any simple characterization for when a family of subsets  $\mathcal{B}$  form the bases of some greedoid (and there may be many such greedoids), so it is not easy to check these weaker hypotheses.

Lastly we re-interpret a result of Fröberg [10] which characterizes the ideals  $I_\Delta$  generated by quadratic square-free monomials having linear resolutions. Note that  $I_\Delta$  is generated by quadratic square-free monomials exactly when  $\Delta$  is the flag complex  $\Delta(G)$  associated to some graph  $G$  on the vertex set  $[n]$ , i.e. the simplices of  $\Delta(G)$  are exactly the subsets  $F$  of  $[n]$  for which every pair in  $F$  is an edge of  $G$ . Fröberg’s characterization involves chordal graphs, which we now discuss. Say that a graph  $G$  is chordal if for every cycle  $v_1, v_2, \dots, v_m, v_1$  in  $G$  with  $m \geq 4$ , there exists some chord i.e. an edge in  $G$  between two vertices which are not adjacent in the cycle. It is well-known (see [11]) that chordal graphs may also be characterized by the existence of an elimination ordering  $v_1, v_2, \dots, v_n$  on the vertices, meaning that for all  $i$  there are edges between all pairs of  $v_i$ ’s neighbors in  $G - \{v_1, v_2, \dots, v_{i-1}\}$  ( $v_i$  is said to be a simplicial vertex of  $G - v_1, v_2, \dots, v_{i-1}$  in this situation).

**Theorem** (Fröberg [10, Theorem 1]). *A Stanley–Reisner ideal  $I_\Delta$  generated by quadratics has linear resolution if and only if  $\Delta = \Delta(G)$  for some chordal graph  $G$ .*

In light of Theorem 3 and Corollary 5, the following proposition gives a “dual” explanation of this result:

**Proposition 8.** *The following are equivalent for a graph  $G$ :*

- (i)  $\Delta(G)^*$  is vertex-decomposable.
- (ii)  $\Delta(G)^*$  is Cohen–Macaulay over any field  $k$ .
- (iii)  $\Delta(G)^*$  is Cohen–Macaulay over some field  $k$ .
- (iv)  $G$  is chordal.

**Proof.** The implications (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii) are all trivial.

(iii)  $\Rightarrow$  (iv): If  $G$  is not chordal then there exist some subset  $V$  of the vertices which supports a cycle in  $G$  having no chord. Borrowing from the argument of [10], note that  $\Delta(G)_V$  is homeomorphic to a circle. Lemma 2 then implies that

$$\tilde{H}_{|V|-4}(\text{link}_{\Delta(G)^*} F; k) = \tilde{H}_1(\Delta(G)_V; k) \neq 0$$

so that  $\Delta(G)^*$  is not Cohen–Macaulay over any field  $k$ .

(iv)  $\Rightarrow$  (i): If  $G$  is chordal, let  $v_1, v_2, \dots, v_n$  be an elimination ordering for its vertices. A vertex decomposition for  $\Delta(G)^*$  starting with  $v_1$  will then follow from the following lemma, whose proof is straightforward.

**Lemma 9.** 1. *For any vertex  $v$  in a graph  $G$ , we have  $\text{link}_{\Delta(G)^*} v = (\Delta(G - v))^*$  as complexes on the vertex set  $[n] - \{v\}$ .*

2. *For any simplicial vertex  $v$  in a graph  $G$ , the deletion  $\text{del}_{\Delta(G)^*} v$  is the simplicial complex on vertex set  $[n] - \{v\}$  having maximal faces  $\{[n] - \{v, v'\}\}$  as  $v'$  runs over all non-neighbors of  $v$  in  $G$ .*

We must show that the lemma implies both subcomplexes  $\text{link}_{\Delta(G)^*} v_1$  and  $\text{del}_{\Delta(G)^*} v_1$  are vertex decomposable. By induction and part 1 of the lemma we have that  $\text{link}_{\Delta(G)^*} v_1 = (\Delta(G - v_1))^*$  is vertex-decomposable, since  $G - v_1$  is chordal whenever  $G$  is chordal. By part 2 of the lemma, since  $v_1$  is simplicial,  $\text{del}_{\Delta(G)^*} v_1$  is the complex generated by a collection of codimension 1 faces of a simplex, and all such complexes are easily seen to be vertex-decomposable.  $\square$

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